

Checklist for Performing Acceptance Reviews for Non-Power Reactor License Amendment Requests

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Introduction

- Last year NRC presented the acceptance reviews process
- The NRC staff developed a checklist for staff to use when performing acceptance reviews for license amendments requests (LAR)



Checklist Purpose

- Help the Research and Test Reactors
 Licensing Branch (PRLB) staff verify that
 the application meets the applicable
 regulatory requirements
- Assist the PRLB staff in identifying missing technical information that is necessary for a complete application



Is NRC Approval Required?

□ Review the application against regulatory requirements to determine if NRC approval is required prior to implementation of desired change

For requirements and conditions necessary to make changes to the facilities and procedures as described in the safety analysis report, without prior NRC approval requirements.

For changes related to:

Quality Assurance Program, 10 CFR 50.54(a)

Security Plan and Safeguards, 10 CFR 50.54(p)

Emergency Plan, 10 CFR 50.54(q)



Administrative Review

Review the application against the administrative requirements for submitting an application:

10 CFR 50.4	For requirements on written communications.
10 CFR 50.90	A license amendment request must fully describe the changes requested, and follow the form prescribed for original applications.
10 CFR 50.33	Each application should include items listed in 10 CFR 50.33
10 CFR 2.390	Must be marked in accordance with 10 CFR 2.390, if the application contains security, sensitive or proprietary information that the licensee wishes to be withheld from public disclosure.
10 CFR 50.30(b)	A license amendment request must be executed in a signed original under oath or affirmation.



- ☐ Cover letter with a summary description:
 - license number
 - name of reactor site
 - summarize the proposed amendment(s)
 - the reason for the amendment(s)
 - whether it's a temporary or permanent change
 - the proposed schedule
 - the timeframe for obtaining the amendment
 - the timeframe of implementation after approval



- □ Detailed Description:
 - a discussion of conditions that the proposed amendment(s) is intended to resolve
 - an explanation of the circumstances that establish a need for the proposed amendment(s)



- □ Technical Evaluation:
 - Follow requirements in 10 CFR 50.36 for amendments related to technical specifications
 - Ensure changes are described using 10 CFR 50.34 for specific technical requirements for the application



- □ Regulatory Evaluation:
 - Applicable Regulatory Requirements and Criteria
 - How the Technical Analysis satisfies the requirements and criteria
 - Precedent (optional)
 - No Significant Hazards Consideration (only for test reactors)
 - As stated in 10 CFR 50.91a
 - Ensure follows standards set forth in 10 CFR 50.92



- Environmental Consideration:
 - 10 CFR 51.22 to determine if an environmental assessment is necessary
 - If it is determined that an environmental assessment is needed, consider whether the staff will need to request significant information in accordance with 10 CFR 51.41
- ☐ References:
 - Identify and number all references used



Attachment to the Enclosure

- ☐ Re-typed Technical Specification:
 - Include change marks in the right hand margin next to each line changed
 - Make sure to update the page numbers



Conclusions

- NRC has developed a checklist for the PMs to use when performing an acceptance review for LAR
- This presentation and the checklist (ML) will be publicly available as part of the NRC-TRTR presentation materials



Thank you





NRC Regulations and Guidance Referenced in the Checklist:

- 10 CFR 2.390, "Public inspections, exemptions, request for withholding"
- 10 CFR 50.4, "Written communications"
- 10 CFR 50.21, "Class 104 licenses; for medical therapy and research and development facilities"
- 10 CFR 50.30(b), "Filing of application for licenses; oath or affirmation"
- 10 CFR 50.33, "Contents of applications; general information"
- 10 CFR 50.34, "Contents of applications; technical information"
- 10 CFR 50.36, "Technical specifications"
- 10 CFR 50.54, "Conditions of licenses"
- 10 CFR 50.59 "Changes, tests, and experiments"
- 10 CFR 50.90, "Application for amendment of license, construction permit or early site permit"
- 10 CFR 50.91, "Notice for public comment; State consultation"
- 10 CFR 50.92, "Issuance of amendment"
- 10 CFR 51.22, "Criterion for categorical exclusion; identification of licensing and regulatory actions eligible for categorical exclusion or otherwise not requiring environmental review"
- 10 CFR 51.41, "Requirement to submit environmental information"
- NUREG 1537, "Guidelines for Preparing and Reviewing Applications for the Licensing of Non-Power Reactors"